

06/22/00

JC803 U.S. PTO

Please type a plus sign (+) inside this box → ☐PTO/SB/05 (4/98)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 520.38691X00

First Inventor or Application Identifier Masahiro KAMINAGA, ET AL.

Title See 1 in Addendum

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 175]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 35]
4. Oath or Declaration [Total Pages 6]
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other: _____

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

020457

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Carl J. Brundidge

Registration No. (Attorney/Agent)

29,621

Signature

Date

06/22/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2000</h3> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>	<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td>June 22, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Masahiro KAMINAGA, ET AL.</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>520.38691X00</td> </tr> </table>	Application Number		Filing Date	June 22, 2000	First Named Inventor	Masahiro KAMINAGA, ET AL.	Examiner Name		Group / Art Unit		Attorney Docket No.	520.38691X00
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Attorney Docket No.	520.38691X00												
<p>TOTAL AMOUNT OF PAYMENT (\$)2,302.00</p>													

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <u>01-2135</u></p> <p>Deposit Account Name: <u>Antonelli, Terry, Stout & Kraus</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>FEE CALCULATION (continued)</p> <p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td>0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116</td><td>380</td><td>216 190 Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117</td><td>870</td><td>217 435 Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218 680 Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228 925 Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119</td><td>300</td><td>219 150 Notice of Appeal</td><td>0.00</td></tr> <tr><td>120</td><td>300</td><td>220 150 Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121</td><td>260</td><td>221 130 Request for oral hearing</td><td>0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141</td><td>1,210</td><td>241 605 Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142</td><td>1,210</td><td>242 605 Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143</td><td>430</td><td>243 215 Design issue fee</td><td>0.00</td></tr> <tr><td>144</td><td>580</td><td>244 290 Plant issue fee</td><td>0.00</td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td>0.00</td></tr> <tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td>0.00</td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146</td><td>690</td><td>246 345 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149</td><td>690</td><td>249 345 For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr> <tr> <td colspan="3">SubTOTAL (3)</td> <td>(\$) 40.00</td> </tr> </tbody> </table>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	0.00	127	50	227 25 Surcharge - late provisional filing fee or cover sheet	0.00	139	130	139 130 Non-English specification	0.00	147	2,520	147 2,520 For filing a request for reexamination	0.00	112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00	113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00	115	110	215 55 Extension for reply within first month	0.00	116	380	216 190 Extension for reply within second month	0.00	117	870	217 435 Extension for reply within third month	0.00	118	1,360	218 680 Extension for reply within fourth month	0.00	128	1,850	228 925 Extension for reply within fifth month	0.00	119	300	219 150 Notice of Appeal	0.00	120	300	220 150 Filing a brief in support of an appeal	0.00	121	260	221 130 Request for oral hearing	0.00	138	1,510	138 1,510 Petition to institute a public use proceeding	0.00	140	110	240 55 Petition to revive - unavoidable	0.00	141	1,210	241 605 Petition to revive - unintentional	0.00	142	1,210	242 605 Utility issue fee (or reissue)	0.00	143	430	243 215 Design issue fee	0.00	144	580	244 290 Plant issue fee	0.00	122	130	122 130 Petitions to the Commissioner	0.00	123	50	123 50 Petitions related to provisional applications	0.00	126	240	126 240 Submission of Information Disclosure Stmt	0.00	581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00	146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____			0.00	Other fee (specify) _____			0.00	SubTOTAL (3)			(\$) 40.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) <u>Carl J. Brundidge</u>	Registration No. (Attorney/Agent) <u>29,621</u>	Telephone <u>703-312-6600</u>	
Signature <u>[Signature]</u>		Date <u>06/22/2000</u>	

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Jc829 U.S. PTO
 09/599005
 06/22/00

Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. INFORMATION PROCESSING DEVICE, CARD DEVICE AND INFORMATION
PROCESSING SYSTEM

